

IAP7 Rec'd PCT/PTO 17 JAN 2006

PCT

Docket No. 279451US0PCT

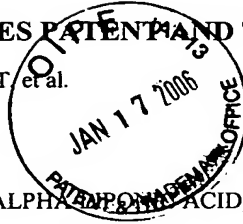
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Martin Jochen KLATT et al.

SERIAL NO: 10/552,964

FILED: October 14, 2005

FOR: STABLE AMMONIUM SALTS OF ALPHA-KETO ACID, THE PRODUCTION THEREOF AND THE USE OF THE SAME



MAIL STOP PCT

GAU:

EXAMINER:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 279451US0PCT		SERIAL NO. 10/552,964	
LIST OF REFERENCES CITED BY APPLICANT 				APPLICANT Martin Jochen KLATT, et al.			
				FILING DATE October 14, 2005		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5 334 612	08/02/94	KALDEN et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	0 702 953	03/27/96	EP (equivalent of US 6 348 490 and US 5 990 152)		NO	
	AP	03/099806	12/04/03	WO		NO	
	AQ	101 59 245	06/18/03	DE		NO	
	AR	2 796 551	01/26/01	EP		NO	
	AS	1 172 110	01/16/02	EP		NO	
	AT	0 572 922	12/08/93	EP		NO	
	AU	0 947 194	10/06/99	EP		NO	
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	STOLL et al, "The Potent Free Radical Scavenger Alpha-Lipoic Acid Improves Cognition in Rodents", Ann. NY Acad. Sci., Vol. 717, pp. 122-128 (1994)					
	AX	DEGENHARDT et al., "Pyridoxamine Inhibits Early Renal Disease and Dyslipidemia in the Streptozotocin-diabetic Rat", Kidney International, Vol. 61, pp. 939-950 (2002)					
	AY	STITT et al, "The AGE Inhibitor Pyridoxamine Inhibits Development of Retinopathy in Experimental Diabetes", DIABETES, Vol. 51, pp. 2826-2832 (2002)					
	AZ	DUKIC-STEFANOVIC et al, "AGES in Brain Ageing: AGE-Inhibitors as Neuroprotective and Anti-Dementia Drugs?", BIOGERONOTOLOGY, Vol. 2, pp. 19-34 (2001)				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AAA	HAMMES et al, "Differential Accumulation of Advanced Glycation end Products in the Course of Diabetic Retinopathy", DIABETOLOGIA, Vol. 42, pp. 728-736 (1999)					
	AAB	STOLL et al, "The Potent Free Radical Scavenger Alpha-Lipoic Acid Improves Memory in Aged Mice: Putative Relationship to NMDA Receptor Deficits", PHARMACOLOGY BIOCHEMISTRY AND BEHAVIOR, Vol. 46, pp. 799-805 (1993)					
	AAC	HAGEN, et al., "(R)-Alpha-Lipoic Acid-Supplemented Old Rats Have Improved Mitochondrial Function, Decreased Oxidative Damage, and Increased Metabolic Rate", FASEB-Journal, Vol. 13, pp. 411-418 (1999)					
	AAD						
	AAE						
	AAF						
	AAG						
	AAH						
	AAI						
	AAJ						
	AAK						
	AAL						
	AAM						
	AAN						
	AAO						
	AAP						
	AAD						
Examiner						Date Considered	

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U.S. PCT Application Serial No: 10/552,964

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STATEMENT OF RELEVANCY

- 1) References AA and AO-AR have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AS- AAC are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.

Translation of Category of Cited Documents in attached
foreign language Search Report:

- X: particularly relevant if taken alone
 - Y: particularly relevant if combined with another
document of the same category
 - A: technological background
 - O: non-written disclosure
 - P: intermediate document
 - T: theory or principle underlying the invention
 - E: earlier patent document, but published on, or
after the filing date
 - D: document cited in the application
 - L: document cited for other reasons
-
- &: member of the same patent family, corresponding
document.